

## **OVERSIGHT AND EVALUATION**

### **Oversight Transparency**

Mid-Ohio ESC shall provide oversight and evaluation processes that are transparent, and shall communicate how it will monitor academic, operational, and financial performance to each school it sponsors.

Mid-Ohio ESC shall develop processes for its oversight and evaluation systems. Mid-Ohio ESC shall regularly communicate its timelines related to its system for oversight and evaluation and for gathering school performance, compliance, and fiscal data. Mid-Ohio ESC shall also notify schools of any timelines or changes to existing processes and methods of oversight and evaluation. The timing for reporting and performance of oversight depends on the type of review and is delineated below.

Whenever practical, Mid-Ohio ESC will proactively communicate information about its methods and procedures for providing oversight and evaluation of schools.

### **Enrollment and Financial Reviews**

Mid-Ohio ESC shall review and provide feedback on the enrollment and financial records of each school monthly. The Board of Governors directs the Superintendent to develop specific procedures to conduct enrollment and financial reviews consistent with this policy.

Financial reviews will include budget ledgers, transactional detail reports, and at least one review of the five-year forecast. Should a review indicate an area of financial concern, including but not limited to enrollment, revenue and expense fluctuations, Mid-Ohio ESC shall make recommendations to the school's governing authority regarding financial and enrollment decision making.

Enrollment reviews will include an assessment of the number of students enrolled during that time. Review of the school's annual residency verifications will be also be part of the review.

After each monthly review, Mid-Ohio ESC shall provide written feedback, including recommendations to improve the governing authority's decision-making.

### **On-Site Reviews**

Mid-Ohio ESC will conduct at least two on-site review visits while school is in session, with one visit during the first half of the review year, and the other visit during the second half of the review year. The on-site visit shall include an examination of the school's compliance with all applicable laws, rules, contractual obligations, and academic performance measures.

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#### Sponsorship Policies

Mid-Ohio ESC shall develop and implement on-site visit protocol. The protocol shall explain the goal of the visits and prescribe strict adherence to a specific set of actions (e.g., procedures for data collection and their sources, types of data, observation and interview guidance and observation and interview instrument) for conducting on-site visits.

During the on-site visit, Mid-Ohio ESC shall collect data from a variety of school employees and other stakeholders. Data shall be collected from school employees, including at least one administrator and one or more instructors, on the day of the visit. Data shall also be collected from at least three (3) stakeholder groups (for example, the school's governing authority members, students, parents, staff, management company staff) over the course of the review year.

Observations and interviews shall be conducted at each site visit. The on-site visit protocol shall include observation guidelines and will specify how interviews will be conducted.

#### Site Visit Reports

After each on-site visit, Mid-Ohio ESC shall provide the reviewed school with a written report that includes at least:

- (1) the information collected during the onsite visit;
- (2) a summary of the sponsor's findings
- (3) areas needing improvement (if applicable);
- (4) areas of strength.

If Mid-Ohio ESC identifies areas needing improvement, the written report shall specify the steps or timeframes for taking appropriate actions. Mid-Ohio ESC will also request and review relevant status updates from the school regarding its progress in the area needing improvement.

#### Performance Monitoring

Mid-Ohio ESC shall review each school's academic, financial, and organizational/operational performance annually using data related to the terms in each school's performance framework.

Throughout the year, Mid-Ohio ESC shall collect data related to all applicable contractual, academic, financial, and organizational/operational measures. Mid-Ohio ESC shall analyze the data collected and evaluate the overall performance of the sponsored school based on the outcomes of the data analysis. The data analysis and evaluation will include multiple years of data. Mid-Ohio ESC shall use its analysis and evaluation of the data to determine subsequent actions required of the sponsored school (for example, corrective action, intervention, professional development, contract termination, contract renewal).

#### Annual Performance Reports

Mid-Ohio ESC shall provide an annual, cumulative performance report to each school it sponsors that summarizes the school's academic, fiscal, and operational/organizational performance. The report shall summarize the performance of the most recently completely year and shall compare the school's performance against the performance framework in the contract. The report shall include multiple years of performance data.

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Based on the information gathered for the report, Mid-Ohio ESC shall identify areas of strength and areas for improvement, summarize each school's performance over the contract term, and state the sponsored school's prospects for renewal.

This report, and the prospects for renewal, shall be communicated and discussed with the sponsored school's Governing Authority.

Audits

When Mid-Ohio ESC is provided timely notice, Mid-Ohio ESC shall attend all audit and closing audit conferences for sponsored schools. Mid-Ohio ESC shall also address all issues identified in the annual audit, shall require corrective action for issues identified in an audit, and shall follow up with to ensure that identified issues are resolved.

Quality Practices Rubric: D.01 – Oversight Transparency; D.02 – Enrollment and Financial Reviews; D.03 – Onsite Visits; D.04 – Site Visit Reports; D.05 – Performance Monitoring; D.07 – Annual Performance Reports

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